

# DLUT-NLP Machine Translation Systems for WMT24 Low-Resource Indic Language Translation

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## Abstract

This paper describes the submission systems of DLUT-NLP team for the WMT24 low-resource Indic language translation shared task. We participated in the translation task of four language pairs, including  $en \leftrightarrow as$ ,  $en \leftrightarrow mz$ ,  $en \leftrightarrow kha$ ,  $en \leftrightarrow mni$ . We used a transformer-based neural network architecture to train the model. Our system used the following methods: First, data processing was performed, and then we used monolingual data for pre-training. Next, we used parallel data for fine-tuning to obtain a multilingual translation model, and then we used this model for back-translation. We merged the back-translated data with the official parallel data and used the upsampling method to train a multilingual translation model from scratch. In order to improve the translation ability of the model for each translation direction, we fine-tuned the model for each language pair and used model averaging to obtain the best model for each language pair. Finally, we used  $k$ NN-MT and established a datastore using the official parallel data to assist translation in the inference stage. Experimental results show that our method greatly improves the BLEU scores for translation of these four language pairs.

## 1 Introduction

This paper introduces our system for WMT24 low-resource Indic language translation shared task. We participated in 4 language pairs, including English $\leftrightarrow$ Assamese ( $en \leftrightarrow as$ ), English $\leftrightarrow$ Mizo ( $en \leftrightarrow mz$ ), English $\leftrightarrow$ Khasi ( $en \leftrightarrow kha$ ) and English $\leftrightarrow$ Manipuri ( $en \leftrightarrow mni$ ).

The main methods used by our system are denoising language model pre-training (Lample and Conneau, 2019; Song et al., 2019; Lewis et al., 2020), back-translation (Sennrich et al., 2016a) and  $k$ NN-MT (Khandelwal et al., 2020). Neural machine translation is the first choice for machine translation systems nowadays, but it requires

a large amount of parallel data. Therefore, low-resource translation is a major challenge due to its lack of data. In this task, the organizers provided a large amount of monolingual data in addition to a small amount of parallel data. So we considered using some pre-training methods to improve the performance of the model. At the same time, back-translation is a commonly used method in the field of machine translation, which is effective in many scenarios. Therefore, we used the back translation method to obtain pseudo-parallel data to train a strong baseline model. To obtain the best model for each translation direction, we fine-tuned the baseline model for each language pair using the official parallel data. During this process, we used model averaging technology to improve the translation quality of the model. In addition to parametric methods, a large number of non-parametric methods have recently emerged to help models generate translations. We adopted the  $k$ NN-MT method and built a datastore for each translation direction to assist the model in the inference phase.

The rest of the paper is organized as follows: In Section 2 we describe our data processing methods; In Section 3 we describe the implementation process of our translation systems; In Section 4, we describe the experimental settings; In Section 5, we discuss about the results; Finally, in Section 6, the conclusion is drawn.

## 2 Data

For bilingual data, we only used official bilingual data. For monolingual data, in addition to the official monolingual data for Assamese, Mizo, Khasi and Manipuri (Pal et al., 2023; Pakray et al., 2024), we obtained English monolingual data from the WMT24 general task. Specifically, we used the English side of bilingual data (English $\leftrightarrow$ German) in the WMT24 general task as English monolingual data. The statistics of the dataset is shown in Table 1.

	as	kha	mni	mz	en
train (mono)	2.6M	0.2M	2.1M	1.9M	2.5M
train (para)	50k	24k	22k	50k	-
dev	2k	1k	1k	1.5k	-
test	2k	1k	1k	2k	-

Table 1: The number of sentences in the training, dev and test sets.

Since the quality of official data is relatively high, we did not perform additional preprocessing. For the English monolingual data, we performed some additional preprocessing steps. During preprocessing, we deleted sentences that were too long or repeated. And then we filtered out sentences in other languages by applying language identification. Finally we used an n-gram language model trained with KenLM (Heafield, 2011)<sup>1</sup> to calculate the perplexity of English monolingual data and removed sentences with high perplexity (>7,000). We used the Sentencepiece (Kudo and Richardson, 2018) tool to train a multilingual BPE (Sennrich et al., 2016b) model for subword segmentation. The training data includes all the parallel training data and monolingual data. The vocabulary size is set to 32,000.

### 3 System Overview

#### 3.1 Pre-training

Using monolingual data for pre-training tasks is an effective solution for low-resource situations (Rafael et al., 2020). To this end, we first performed BART-style pre-training (Lewis et al., 2020) with all the available monolingual data and then fine-tuned the pretrained model with bilingual data. Following Lewis et al. (2020), we masked words with a probability of 0.15 and we randomly swapped words in the input sentences with a probability of 0.5.

After pre-training, we used all the bilingual data to fine-tune the pre-trained model. The bilingual data contains 4 language pairs in 8 translation directions.

#### 3.2 Back-translation

To improve our translation pipeline, we explored the integration of back-translation as a potential enhancement. Back-translation involves using a trained model to translate from the target language back to the source language, effectively creating a

<sup>1</sup><https://github.com/kpu/kenlm>

synthetic parallel dataset. We used the approach inspired by Sennrich et al. (2016a) to generate pseudo-parallel corpus.

Specifically, we used the model fine-tuned in the pre-training phase. We used this model to translate all non-English monolingual data into English as pseudo-parallel data. Then we mixed all the pseudo-parallel data with the official bilingual data. We used this data to train a multilingual translation model from scratch. During training, we used up-sampling method and the official parallel data was upsampled until it reached to a ratio of 1:1 with the synthetic data.

#### 3.3 Language-specific Fine-tuning

Although multilingual translation models have made great progress, there is still the problem of inconsistent convergence of different language pairs in joint training (Wu et al., 2021; Huang et al., 2022). That is, different language pairs reach convergence in various training stages. We hope to get the best model for each language pair. Due to the low quality of pseudo-parallel data, we used the official bilingual data of each language pair to fine-tune the model trained using pseudo-parallel data.

During fine-tuning, we used the model averaging technology. Through model averaging, we combined the advantages of various models into a unified translation model. This process can not only improve the stability of the translation output, but also help improve the overall translation quality. We kept the three models with the lowest loss on the validation set for each language pair. We then used these three models to get the best model for each language pair.

#### 3.4 $k$ NN-MT

Non-parametric,  $k$ -nearest-neighbor algorithms have recently made inroads to assist generative models such as language models and machine translation decoders. Khandelwal et al. (2020) introduced  $k$ -nearest-neighbor machine translation

( $k$ NN-MT): a simple non-parametric method for machine translation via nearest-neighbor retrievals was proposed and has been verified its effectiveness. According to his method, we constructed a datastore to store the translation examples to be accessed during decoding with the official parallel data. When decoding, we used the current translation context to retrieve the  $k$ -nearest-neighbors in the datastore. Let  $\mathbf{x} = (x_1, \dots, x_{|\mathbf{x}|}) \in \mathcal{V}_X^{|\mathbf{x}|}$  and  $\mathbf{y} = (y_1, \dots, y_{|\mathbf{y}|}) \in \mathcal{V}_Y^{|\mathbf{y}|}$  denote a source sentence and target sentence, respectively, where  $|\cdot|$  represents the length of the sentence, and  $\mathcal{V}_X$  and  $\mathcal{V}_Y$  are the vocabularies of the source language and target language, respectively. Each target token  $y_t$  from the translation examples is stored in the datastore with a  $d$ -dimensional key ( $\in \mathbb{R}^d$ ), which is the representation of the translation context  $(\mathbf{x}, \mathbf{y}_{<t})$  obtained from the decoder of the pre-trained NMT model. The datastore  $\mathcal{M} \subseteq \mathbb{R}^d \times \mathcal{V}_Y$  is formally defined as a set of tuples as follows:

$$\mathcal{M} = \{(f(\mathbf{x}, \mathbf{y}_{<t}), y_t) | (\mathbf{x}, \mathbf{y}) \in \mathcal{D}, 1 \leq t \leq |\mathbf{y}|\} \quad (1)$$

The size of the datastore for each translation direction is shown in Table 2. During decoding,  $k$ NN-MT retrieves the  $k$ -nearest-neighbor key-value pairs  $\{(\mathbf{k}_i, v_i)\}_{i=1}^k \subseteq \mathbb{R}^d \times \mathcal{V}_Y$  from the datastore  $\mathcal{M}$  using the query vector  $f(\mathbf{x}, \mathbf{y}_{<t})$  at timestep  $t$ .  $f: \mathcal{V}_X^{|\mathbf{x}|} \times \mathcal{V}_Y^{t-1} \rightarrow \mathbb{R}^d$  represents the intermediate representation of the final decoder layer from the source sentence and prefix target tokens. In our system, the value of  $k$  is set to 32 for all translation directions. In order to speed up the retrieval during translation, we used FAISS (Johnson et al., 2019). We then obtained the output probability for each token by interpolating the  $k$ NN-MT probability and the probability from the translation model. The formula for calculating the  $k$ NN-MT probability is:

$$p_{k\text{NN}}(y_t | \mathbf{x}, \mathbf{y}_{<t}) \propto \sum_{i=1}^k \mathbb{1}_{y_t=v_i} \exp \frac{-\|\mathbf{k}_i - f(\mathbf{x}, \mathbf{y}_{<t})\|_2^2}{\tau} \quad (2)$$

The formula for calculating the output probability is as follows:

$$P(y_t | \mathbf{x}, \mathbf{y}_{<t}) = \lambda p_{k\text{NN}}(y_t | \mathbf{x}, \mathbf{y}_{<t}) + (1 - \lambda) p_{\text{NMT}}(y_t | \mathbf{x}, \mathbf{y}_{<t}). \quad (3)$$

For all translation directions, we set  $\lambda = 0.3$  and  $\tau = 100$  in the  $k$ NN-MT decoding.

datastore	size
en→as	1,212,711
en→kha	1,024,451
en→mni	574,142
en→mz	1,404,832
as→en	1,253,490
kha→en	878,620
mni→en	524,002
mz→en	1,263,000

Table 2: Datastore size for all translation directions.

## 4 Experiments

All of our translation models were implemented based on fairseq (Ott et al., 2019) and trained on 8 NVIDIA 3090 GPUs. All models use the same structure of 12 transformer layers (Vaswani et al., 2017). During training, we used the Adam (Kingma, 2014) optimizer with  $\beta_1 = 0.9$ ,  $\beta_2 = 0.98$ , the learning rate scheduling strategy of inverse sqrt, the number of warmup step set to 4000, the maximum learning rate set to 0.0005 and FP16 to accelerate the training process. We trained our models till convergence with early stopping criteria with a patience of 5. The dropout ratio is set to 0.5. We used a fixed beam size of 4 and a length penalty of 0.8 when doing back-translation.

All experiments were evaluated using the sacrebleu (Post, 2018) tool to calculate BLEU (Papineni et al., 2002) scores on the official validation sets.

## 5 Results

As shown in Table 3, each method can bring certain improvements to the model. However, pre-training and back-translation did not bring much improvement. For example, pre-training leads to an improvement of 0.82 BLEU on average, while back-translation brings BLEU improvements of 0.41. In particular, back-translation has caused some damage to the performance of the model on some translation directions. The BLEU in en→mni direction dropped from 25.17 to 24.04. This may be caused by the low quality of pseudo-parallel data. We believe that fine-tuning the model separately using the data of each language pair is necessary for a multilingual translation model. And it achieves 1.03 BLEU improvement on average. Doing so can alleviate the problem of inconsistent convergence of different language pairs in joint training, although it does not benefit all translation directions. It can be seen that all translation directions

System	en→as	en→kha	en→mz	en→mni	as→en	kha→en	mz→en	mni→en
M2M Baseline	8.75	17.84	22.26	24.49	15.69	13.15	22.45	32.41
Pre-training	9.24	17.77	22.72	25.17	17.70	<b>14.05</b>	22.89	34.08
Back-translation	11.51	18.24	23.29	24.04	17.98	13.22	23.36	35.25
Fine-tuning	12.50	18.29	24.17	26.93	18.55	13.33	24.32	37.06
<i>k</i> NN-MT	<b>12.82</b>	<b>18.78</b>	<b>29.39</b>	<b>28.99</b>	<b>19.69</b>	13.82	<b>31.27</b>	<b>39.02</b>

Table 3: BLEU scores of all translation direction on validation sets

are further improved with *k*NN-MT (+2.33 BLEU). The four translation directions of the two language pairs en↔mni and en↔mz can even get an average improvement of 4.05 BLEU. This shows the great potential of *k*NN-MT in improving data utilization efficiency, inspiring more research on *k*NN-MT in low-resource scenarios. Finally, from the overall perspective, some translation directions do not benefit much from our methods. The translation performance of the model in these translation directions may be most limited by the size of the data. However, the results in most translation directions still achieve significant improvements over the baseline, which demonstrates the effectiveness of our approach for low-resource machine translation.

## 6 Conclusion

In this paper, we describe DLUT-NLP’s submission to the WMT24 low-resource Indic language translation shared task. We participated in four sub-tasks with a total of eight translation directions. We leveraged methods ranging from pre-training, back-translation, language-specific fine-tuning and *k*NN-MT. Experimental results show that we achieved large improvements in all directions.

## Limitations

We found that our system still has the following limitations:

- We did not perform effective filtering on the pseudo-parallel corpus, and we did not perform iterative back-translation. This may be the reason why our back-translation did not achieve the expected results.
- We believe that we have not made enough use of monolingual data. Next, we need to explore other ways to use monolingual data, such as using other pre-training tasks.
- We did not leverage any existing LLMs because we were not sure whether they were

trained on languages other than English included in the task. This will also be a future exploration mission.

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