

# Aspects of Tree-Based Statistical Machine Translation

Marcello Federico

Human Language Technology  
FBK

2014

# Outline

## **Tree-based translation models:**

- ▶ Synchronous context free grammars
- ▶ Hierarchical phrase-based model

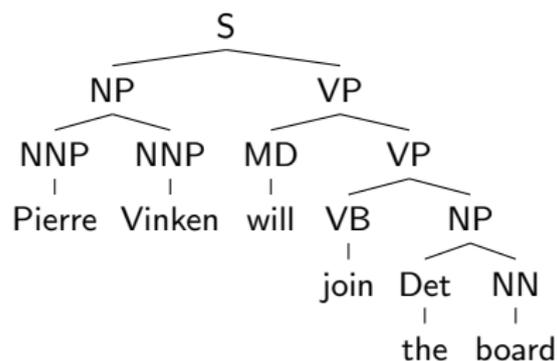
## **Decoding with SCFGs:**

- ▶ Translation as Parsing
- ▶ DP-based chart decoding
- ▶ Integration of language model scores

**Credits:** adapted from slides by Gabriele Musillo.



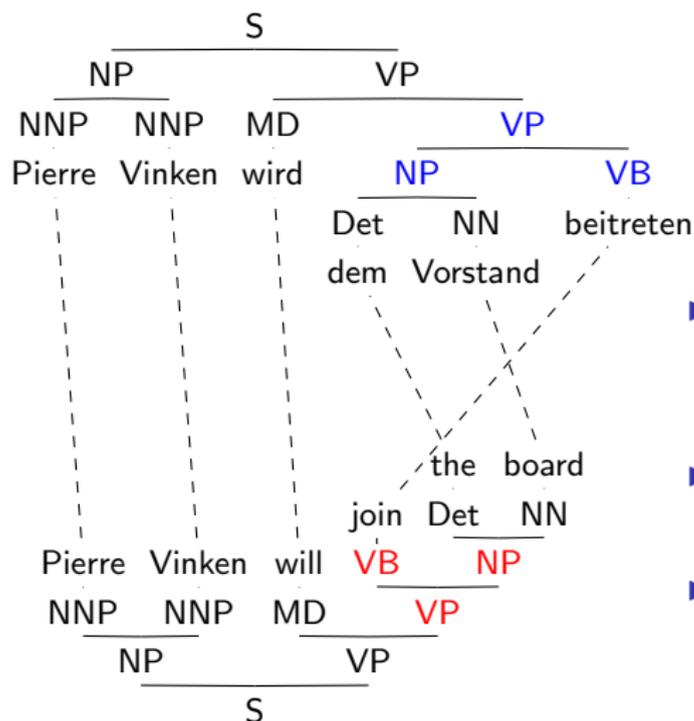
# Tree Structures



## Syntactic Structures:

- ▶ **rooted ordered** trees
- ▶ internal nodes labeled with **syntactic categories**
- ▶ leaf nodes labeled with words
- ▶ **linear** and **hierarchical** relations between nodes

# Tree-to-Tree Translation Models



- ▶ syntactic **generalizations** over pairs of languages: **isomorphic** trees
- ▶ syntactically informed **unbounded reordering**
- ▶ formalized as derivations in **synchronous grammars**

? Adequacy of Isomorphism Assumption ?

# Context-Free Grammars

## CFG (Chomsky, 1956):

- ▶ formal model of languages
- ▶ more expressive than Finite State Automata and Regular Expressions
- ▶ first used in linguistics to describe **embedded** and **recursive** structures

## CFG Rules:

- ▶ **left-hand side nonterminal symbol**
- ▶ **right-hand side string of nonterminal or terminal symbols**
- ▶ distinguished **start** nonterminal symbol

$$\begin{cases} S \rightarrow 0S1 & S \text{ rewrites as } 0S1 \\ S \rightarrow \epsilon & S \text{ rewrites as } \epsilon \end{cases}$$

# CFG Examples

**G<sub>1</sub>:**

$R = \{S \rightarrow NP VP,$   
 $NP \rightarrow N|DET N|N PP,$   
 $VP \rightarrow V NP|V NP PP,$   
 $PP \rightarrow P NP,$   
 $DET \rightarrow the|a,$   
 $N \rightarrow Alice|Bob|trumpet,$   
 $V \rightarrow chased,$   
 $P \rightarrow with\}$

? **derivations** of  
*Alice chased Bob with the trumpet*

- ▶ same parse tree can be derived in different ways ( $\neq$  order of rules)
- ▶ same sentence can have different parse trees ( $\neq$  choice of rules)

**G<sub>3</sub>:**

$R = \{NP \rightarrow NP CONJ NP|NP PP|DET N,$   
 $PP \rightarrow P NP, P \rightarrow of,$   
 $DET \rightarrow the|two|three,$   
 $N \rightarrow mother|pianists|singers,$   
 $CONJ \rightarrow and\}$

? **derivations** of  
*the mother of three*  
*pianists and two singers*

# Transduction Grammars aka Synchronous Grammars

**TG** (Lewis and Stearns, 1968;  
Aho and Ullman, 1969):

- ▶ **two or more strings derived simultaneously**
- ▶ more powerful than FSTs
- ▶ used in NLP to model **alignments**, unbounded **reordering**, and mappings from surface forms to logical forms

$$\begin{cases} E \rightarrow E_{[1]} + E_{[2]} / + E_{[1]} E_{[2]} & \text{infix to Polish notation} \\ E \rightarrow E_{[1]} * E_{[2]} / * E_{[1]} E_{[2]} \\ E \rightarrow n / n & n \in N \end{cases}$$

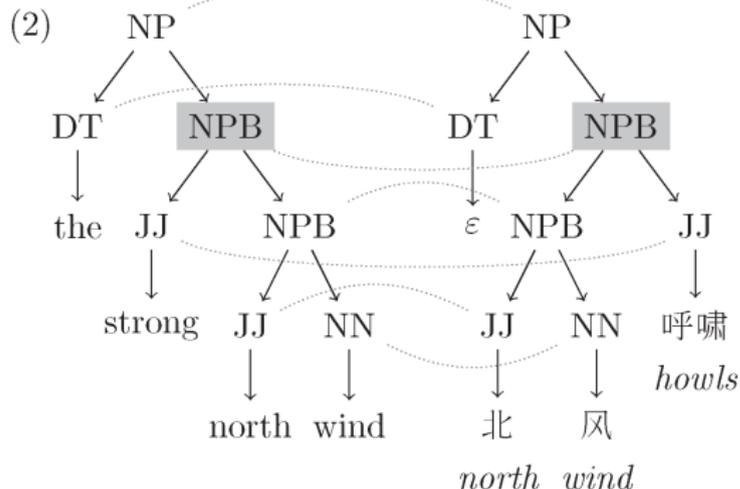
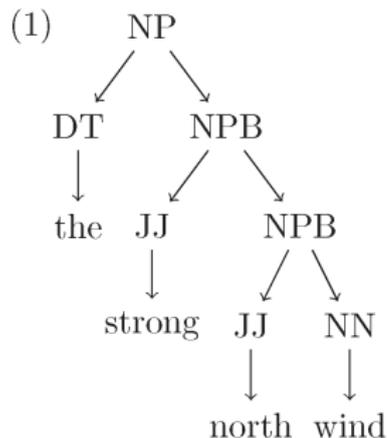
**Synchronous Rules:**

- ▶ left-hand side nonterminal symbol associated with **source** and **target** right-hand sides
- ▶ **bijection**  $\square$  mapping nonterminals in source and target of right-hand sides

# Synchronous CFG

$NP \rightarrow DT_1 NPB_2 / DT_1 NPB_2$   
 $NPB \rightarrow JJ_1 NN_2 / JJ_1 NN_2$   
 $NPB \rightarrow NPB_1 JJ_2 / JJ_2 NPB_1$   
 $DT \rightarrow \text{the} / \epsilon$   
 $JJ \rightarrow \text{strong} / \text{呼啸}$   
 $JJ \rightarrow \text{north} / \text{北}$   
 $NN \rightarrow \text{wind} / \text{风}$

- ▶ **1-to-1 correspondence** between nodes
- ▶ **isomorphic** derivation trees
- ▶ uniquely determined **word alignment**



# Hierarchical Phrase-Based Models

**HPBM** (Chiang, 2007):

- ▶ **formalized as SCFG**
- ▶ first tree-to-tree approach to perform better than phrase-based systems in large-scale evaluations
- ▶ **discontinuous phrases**, i.e. phrases with gaps
- ▶ **long-range reordering rules**
- ▶ no syntactic rules: **only two non-terminal symbols**

## Example

*Chinese-English: original, transliteration, glosses, and translation*

澳洲 是 与 北韩 有 邦交 的 少数 国家 之一 。  
Aozhou shi yu Beihan you bangjiao de shaoshu guojia zhiyi 。  
Australia is with North Korea have dipl. rels. that few countries one of .

Australia is one of the few countries that have diplomatic relations with North Korea.

# HPBM: Motivations

## Typical Phrase-Based Chinese-English Translation:

[Aozhou] [shi]<sub>1</sub> [yu Beihan]<sub>2</sub> [you] [bangjiao] [de shaoshu guojia zhiyi] [.]

[Australia] [has] [dipl. rels.] [with North Korea]<sub>2</sub> [is]<sub>1</sub> [one of the few countries] [.]

- ▶ Chinese VPs follow PPs / English VPs precede PPs

*yu X<sub>1</sub> you X<sub>2</sub> / have X<sub>2</sub> with X<sub>1</sub>*

- ▶ Chinese NPs follow RCs / English NPs precede RCs

*X<sub>1</sub> de X<sub>2</sub> / the X<sub>2</sub> that X<sub>1</sub>*

- ▶ translation of *zhiyi* construct in English word order

*X<sub>1</sub> zhiyi / one of X<sub>1</sub>*

## HPBM: Example Rules

$S \rightarrow X_1 / X_1$  (1)

$S \rightarrow S_1 X_2 / S_1 X_2$  (2)

$X \rightarrow \textit{yu } X_1 \textit{ you } X_2 / \textit{ have } X_2 \textit{ with } X_1$  (3)

$X \rightarrow X_1 \textit{ de } X_2 / \textit{ the } X_2 \textit{ that } X_1$  (4)

$X \rightarrow X_1 \textit{ zhiyi } / \textit{ one of } X_1$  (5)

$X \rightarrow \textit{Aozhou } / \textit{ Australia}$  (6)

$X \rightarrow \textit{Beihan } / \textit{ N. Korea}$  (7)

$X \rightarrow \textit{she } / \textit{ is}$  (8)

$X \rightarrow \textit{bangjiao } / \textit{ dipl.rels.}$  (9)

$X \rightarrow \textit{shaoshu guojia } / \textit{ few countries}$  (10)

# Summary

## **Synchronous Context-Free Grammars:**

- ▶ Context-Free Grammars
- ▶ HPB recursive reordering model

## **Next topics:**

- ▶ Decoding SCFGs: Translation as Parsing
- ▶ DP-based chart decoding
- ▶ Integration of language model scores

# Synchronous Context-Free Grammars

## SCFGs:

- ▶ CFGs in **two dimensions**
- ▶ **synchronous** derivation of **isomorphic<sup>a</sup> trees**
- ▶ **unbounded reordering** preserving **hierarchy**

<sup>a</sup>excluding leaves

...

$VB \rightarrow PRP_1 VB1_2 VB2_3 / PRP_1 VB2_3 VB1_2$

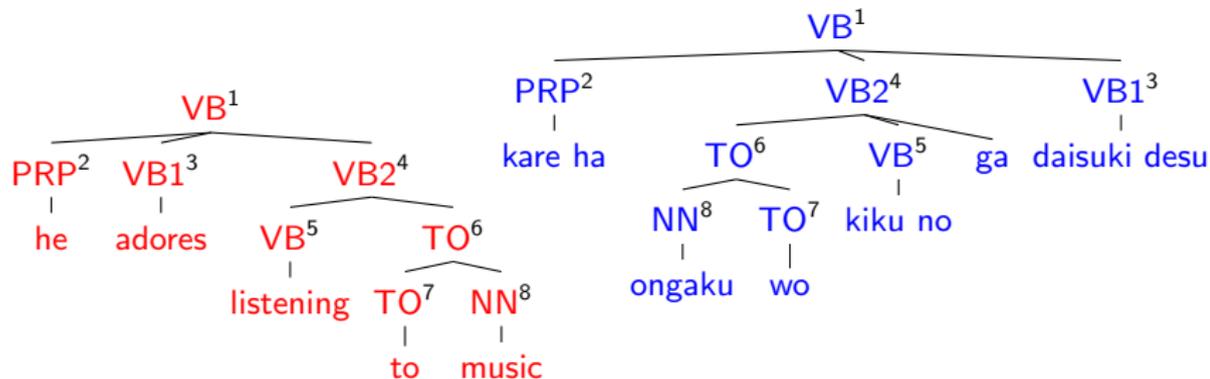
$VB2 \rightarrow VB_1 TO_2 / TO_2 VB_1 ga$

$TO \rightarrow TO_1 NN_2 / NN_2 TO_1$

$PRP \rightarrow he / kare ha$

$VB \rightarrow listening / daisuki desu$

...



# Weighted SCFGs

- ▶ rules  $A \rightarrow \alpha / \beta$  associated with positive weights  $w_{A \rightarrow \alpha / \beta}$
- ▶ derivation trees  $\pi = \langle \pi_1, \pi_2 \rangle$  weighted as

$$W(\pi) = \prod_{A \rightarrow \alpha / \beta \in G} w_{A \rightarrow \alpha / \beta}^{c(A \rightarrow \alpha / \beta; \pi)}$$

- ▶ **probabilistic SCFGs** if the following conditions hold

$$w_{A \rightarrow \alpha / \beta} \in [0, 1] \text{ and } \sum_{\alpha, \beta} w_{A \rightarrow \alpha / \beta} = 1$$

- ▶ notice: SCFGs might well include rules of type

$$A \rightarrow \alpha / \beta_1 \dots A \rightarrow \alpha / \beta_k$$

# MAP Translation Problem

## Maximum A Posterior Translation:

$$\begin{aligned} e^* &= \operatorname{argmax}_e p(e|f) \\ &= \operatorname{argmax}_e \sum_{\pi \in \Pi(f,e)} p(e, \pi|f) \end{aligned}$$

$\Pi(f, e)$  is the set of synchronous derivation trees yielding  $\langle f, e \rangle$

- ▶ Exact MAP decoding is NP-hard (Simaan, 1996; Satta and Peserico, 2005)

# Viterbi Approximation

## Tractable Approximate Decoding:

$$\begin{aligned} e^* &= \operatorname{argmax}_e \sum_{\pi \in \Pi(f, e)} p(e, \pi | f) \\ &\simeq \operatorname{argmax}_e \max_{\pi \in \Pi(f, e)} p(e, \pi | f) \\ &= E(\operatorname{argmax}_{\pi \in \Pi(f)} p(\pi)) \end{aligned}$$

$\Pi(f)$  is the set of synchronous derivations yielding  $f$

$E(\pi)$  is the *target* string resulting from the synchronous derivation  $\pi$

# Translation as Parsing

$$\pi^* = \operatorname{argmax}_{\pi \in \Pi(f)} p(\pi)$$

## Parsing Solution:

1. compute the **most probable derivation tree** that generates  $f$  using the **source dimension** of the WSCFG
  2. build the **translation string**  $e$  by applying the **target dimension** of the rules used in the most probable derivation
- ▶ most probable derivation computed in  $O(n^3)$  using **dynamic programming** algorithms for parsing **weighted CFGs**
  - ▶ transfer of decoding algorithms developed for CFG to SMT

# Weighted CFGs in Chomsky Normal Form

## WCFGs:

- ▶ rules  $A \rightarrow \alpha$  associated with positive weights  $w_{A \rightarrow \alpha}$
- ▶ derivation trees  $\pi$  weighted as

$$W(\pi) = \prod_{A \rightarrow \alpha \in G} w_{A \rightarrow \alpha}^{c(A \rightarrow \alpha; \pi)}$$

- ▶ probabilistic CFGs if the following conditions hold

$$w_{A \rightarrow \alpha} \in [0, 1] \text{ and } \sum_{\alpha} w_{A \rightarrow \alpha} = 1$$

## WCFGs in CNF:

- ▶ rules in CFGs in Chomsky Normal Form:  $\mathbf{A} \rightarrow \mathbf{BC}$  or  $\mathbf{A} \rightarrow \mathbf{a}$
- ▶ **equivalence** between WCFGs and WCFGs in CNF
- ▶ no analogous equivalence holds for weighted SCFGs

# Weighted CKY Parsing

## Dynamic Programming:

- ▶ recursive division of problems into subproblems
- ▶ optimal solutions compose optimal sub-solutions (Bellman's Principle)
- ▶ tabulation of subproblems and their solutions

## CKY Parsing:

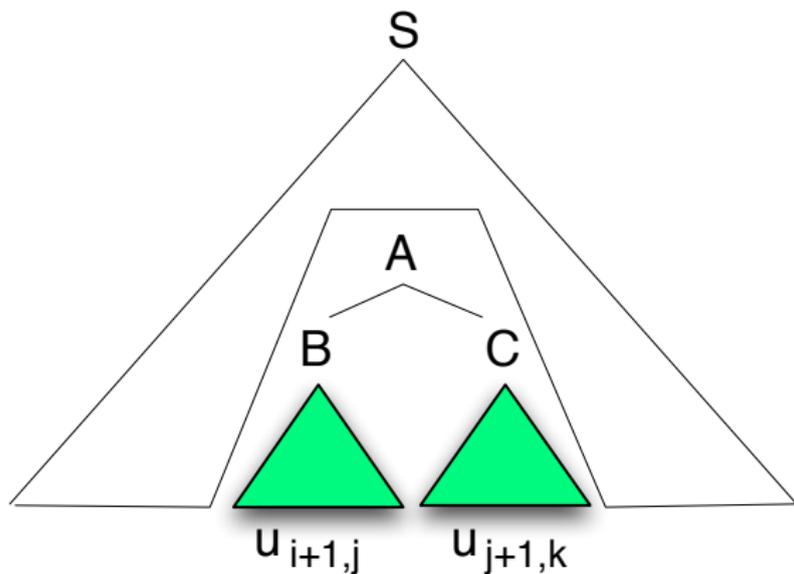
- ▶ subproblems: **parsing substrings of the input string**

$$u_1 \dots u_n$$

- ▶ **bottom up** algorithm starting with derivation of terminals
- ▶ solutions to subproblems tabulated using a **chart**
- ▶  $O(n^3|G|)$  time complexity

# Weighted CKY Parsing

$$Q(A, i, k) = \max_{B, C, i < j < k} \{w_{A \rightarrow B C} \times Q(B, i, j) \times Q(C, j, k)\}$$



# Parsing SCFG and Language Modelling

## Viterbi Decoding of WSCFGs:

- ▶ focus on **most probable** derivation of source (ignoring different target sides associated with the same source side)
- ▶ **derivation weights** do not include **language models scores**

? HOW TO EFFICIENTLY COMPUTE TARGET LANGUAGE MODEL SCORES FOR POSSIBLE DERIVATIONS ?

## Approaches:

1. **online**: integrate target  $m$ -gram LM scores into dynamic programming parsing
2. **cube pruning** (Huang and Chiang, 2007): rescore  $k$ -best sub-translations at each node of the parse forest

# Online Translation

*Bàowēier yǔ Shālóng jǔxíng le huìtán*  
Powell with Sharon hold [past] meeting

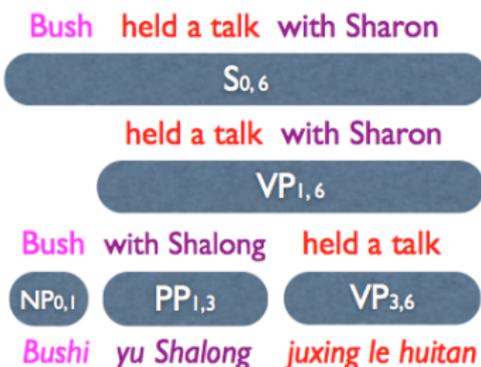
“Powell held a meeting with Sharon”

S	→	NP <sup>(1)</sup> VP <sup>(2)</sup> ,	NP <sup>(1)</sup> VP <sup>(2)</sup>
VP	→	PP <sup>(1)</sup> VP <sup>(2)</sup> ,	VP <sup>(2)</sup> PP <sup>(1)</sup>
NP	→	<i>Bàowēier</i> ,	Powell
VP	→	<i>jǔxíng le huìtán</i> ,	held a meeting
PP	→	<i>yǔ Shālóng</i> ,	with Sharon

**Online Translation:** parsing of the source string and building of the corresponding subtranslations **in parallel**

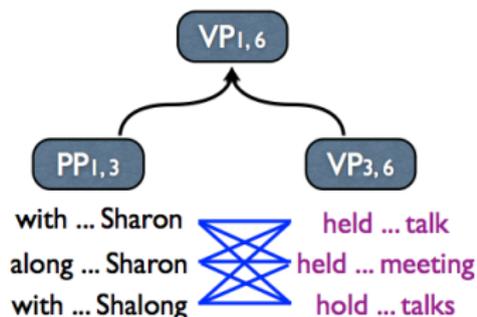
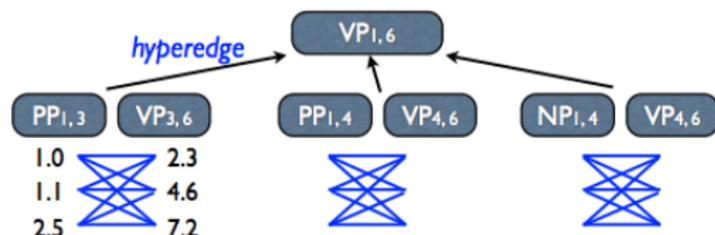
$$\frac{PP_{1,3} : (w_1, t_1) \quad VP_{3,6} : (w_2, t_2)}{VP_{1,6} : (w \times w_1 \times w_2, t_2 t_1)}$$

- ▶  $w_1, w_2$ : weights of the two antecedents
- ▶  $w$ : weight of the synchronous rule
- ▶  $t_1, t_2$ : translations





# Cube Pruning (Huang and Chiang, 2007)



## Beam Search:

- ▶ at each step in the derivation, keep at most  $k$  items integrating target subtranslations in a beam
- ▶ enumerate all possible combinations of LM items
- ▶ extract the  $k$ -best combinations

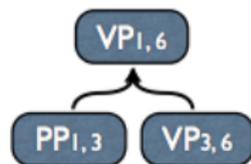
## Cube Pruning:

- ▶ get  $k$ -best LM items **without computing all combinations**
- ▶ approximate search: in practice negligible search errors

# Cube Pruning

## Heuristic Assumption:

- ▶ margin scores are  $-\log$ -probs of the left/right spans
- ▶ **best adjacent items** lie towards the **upper-left corner**
- ▶ part of the grid can be pruned **without computing its cells**



non-monotonic grid  
due to LM combo costs

(VP<sup>held</sup><sub>3,6</sub> \* meeting)

(VP<sup>held</sup><sub>3,6</sub> \* talk)

(VP<sup>hold</sup><sub>3,6</sub> \* conference)

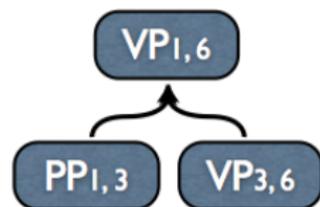
	1.0	3.0	8.0
1.0	2.5	9.0	9.5
1.1	2.4	9.5	9.4
3.5	5.1	17.0	12.1

(PP<sup>with</sup><sub>1,3</sub> \* Sharon)

(PP<sup>along</sup><sub>1,3</sub> \* Sharon)

(PP<sup>with</sup><sub>1,3</sub> \* Shalong)

# Cube Pruning: Example



bigram (meeting, with)

(PP<sub>1,3</sub> with \* Sharon)  
 (PP<sub>1,3</sub> along \* Sharon)  
 (PP<sub>1,3</sub> with \* Shalongs)

non-monotonic grid  
 due to LM combo costs

(VP<sub>3,6</sub> held \* meeting)

(VP<sub>3,6</sub> held \* talk)

(VP<sub>3,6</sub> hold \* conference)

	1.0	3.0	8.0
1.0	2.0 + 0.5	4.0 + 5.0	9.0 + 0.5
1.1	2.1 + 0.3	4.1 + 5.4	9.1 + 0.3
3.5	4.5 + 0.6	6.5 + 10.5	11.5 + 0.6

# Cube Pruning: Example

**k-best parsing**  
(Huang and Chiang, 2005)

- a priority queue of candidates
- extract the best candidate

(PP with \* Sharon)  
1,3

(PP along \* Sharon)  
1,3

(PP with \* Shalong)  
1,3

	1.0	3.0	8.0
(VP <sup>held</sup> <sub>3,6</sub> * meeting)	1.0	2.5	
(VP <sup>held</sup> <sub>3,6</sub> * talk)	1.1		
(VP <sup>hold</sup> <sub>3,6</sub> * conference)	3.5		

# Cube Pruning: Example

**k-best parsing**  
(Huang and Chiang, 2005)

- a priority queue of candidates
- extract the best candidate
- push the two successors

(PP with \* Sharon)  
1,3

(PP along \* Sharon)  
1,3

(PP with \* Shalong)  
1,3

	1.0	3.0	8.0
(VP <sup>held</sup> * meeting) <sub>3,6</sub>	1.0	2.5	9.0
(VP <sup>held</sup> * talk) <sub>3,6</sub>	1.1	2.4	
(VP <sup>hold</sup> * conference) <sub>3,6</sub>	3.5		

# Cube Pruning: Example

**k-best parsing**  
(Huang and Chiang, 2005)

- a priority queue of candidates
- extract the best candidate
- push the two successors

(PP with \* Sharon)  
1,3

(PP along \* Sharon)  
1,3

(PP with \* Shalong)  
1,3

	1.0	3.0	8.0
(VP <sub>3,6</sub> <sup>held</sup> * meeting)	1.0	2.5	9.0
(VP <sub>3,6</sub> <sup>held</sup> * talk)	1.1	2.4	9.5
(VP <sub>3,6</sub> <sup>hold</sup> * conference)	3.5	5.1	

# Summary

## **Translation As Parsing:**

- ▶ Viterbi Approximation
- ▶ Weighted CKY Parsing
- ▶ Online LM Integration and Cube Pruning